National Respiratory Audit Programme (NRAP)

COPD: Approval to collect patient identifiable information without consent

Dear Caldicott Guardian,

The National Respiratory Audit Programme (NRAP) launched on 1 June 2023, continuing the delivery of asthma and COPD audits of primary care, secondary care, and pulmonary rehabilitation services as previously conducted under the National Asthma and COPD Audit Programme (NACAP).

Collection of patient identifiable information and Section 251 approval

The COPD audit collects patient identifiable information (NHS number, date of birth, postcode data, ethnicity, mental health status and date of death (if death occurred during admission)) to link with data from Hospital Episode Statistics (HES), Office for National Statistics (ONS) and Patient Episode Database for Wales, and report on patient outcomes.

Section 251 approval

This audit has Section 251 approval from the Health Research Authority Confidential Advisory Group (CAG). The [CAG reference number](https://www.hra.nhs.uk/planning-and-improving-research/application-summaries/confidentiality-advisory-group-registers/) is 23/CAG/0045.

Next steps for Caldicott Guardians

Hospitals that admit adults with COPD will register to participate in the COPD audit. For their participation in this audit to fall within NHS information governance rules, we require your confirmation that all legal requirements for receiving and handling patient data (including identifiable and sensitive data) have been considered and will be complied with by your hospital.

1. Please complete the form below and send it back to the NRAP team to confirm that your hospital has approval to participate in the COPD secondary care clinical audit.
2. Once Caldicott Guardian approval has been received, your hospital will be granted access to the online audit data collection tool.

**Access to this tool will not be granted, until receipt of this approval.**

Submitting Caldicott Guardian approval

Please provide approval for your hospital. Approval must be given by completing the form below and submitting it to copd@rcp.ac.uk.

Further information about the COPD audit and NRAP

Further information about the COPD audit and wider NRAP programme can be found [here](https://www.rcp.ac.uk/projects/copd-secondary-care-workstream).

If you have any queries about the COPD audit, please contact the audit team at copd@rcp.ac.uk or 020 3075 1526.

Thank you in advance for your support.

Yours faithfully,





Professor Tom Wilkinson Lara Amusan

National Respiratory Audit Programme National Respiratory Audit Programme

Programme Clinical Lead Programme Manager

Caldicott Guardian Approval Form

We would be grateful if you could sign this form to confirm that you are happy that all legal requirements for receiving and handling patient data (including identifiable and sensitive data) have been considered and will be complied with by your hospital, and you are happy to support their participation in the NRAP COPD workstream.

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| Trust/Health Board |       |
| Hospital(s) |       |
| Name of Caldicott Guardian |       |
| Signature |  A picture containing white, design  Description automatically generated      |
| Date |       |